

STATE OF NEVADA  
**DEPARTMENT OF BUSINESS AND INDUSTRY**  
**DIVISION OF INSURANCE**  
Self-Insured Workers' Compensation  
1818 E. College Parkway, Suite 103  
Carson City, NV 89706  
(775) 687-0700

**INACTIVE**  
**SELF-INSURED EMPLOYER'S ANNUAL CLAIMS INFORMATION REPORT**  
**FOR FISCAL YEAR ENDING: JUNE 30, 2016**

**DUE SEPTEMBER 30, 2016**

A. IDENTIFICATION

1. Employer's Name: \_\_\_\_\_
2. Date of Certification: \_\_\_\_\_ Date of Decertification \_\_\_\_\_
3. Number of Consecutive Years as a Self-Insured Employer (see instructions C2(a)): \_\_\_\_\_
4. Name of Employer's **Contact Person** – (Mandatory): \_\_\_\_\_  
Title: \_\_\_\_\_ E-mail Address (Mandatory): \_\_\_\_\_  
Address: \_\_\_\_\_  
Telephone Number: \_\_\_\_\_ Fax Number (Mandatory): \_\_\_\_\_
5. **If multiple claims Third-Party Administrators are utilized, you must include contact information and claims dates handled for each Administrator. Attach a separate list if necessary.**  
Claims Administrator: \_\_\_\_\_ Contact Person: \_\_\_\_\_  
Mailing Address: \_\_\_\_\_  
Telephone Number: \_\_\_\_\_ E-mail address: \_\_\_\_\_  
Claim Dates Administered: \_\_\_\_\_  
Location of Records (attach list, if necessary): \_\_\_\_\_  
Claims Administrator: \_\_\_\_\_ Contact Person: \_\_\_\_\_  
Mailing Address: \_\_\_\_\_  
Telephone Number: \_\_\_\_\_ E-mail address: \_\_\_\_\_  
Claim Dates Administered: \_\_\_\_\_  
Location of Records (attach list, if necessary): \_\_\_\_\_

**INACTIVE  
2016 ANNUAL CLAIMS INFORMATION REPORT**

B. SECURITY DEPOSIT (NRS 616B.300) & (NAC 616B.436) – Please note that pursuant to NRS 616B.333 the security deposited must remain on deposit for at least 36 months after termination of status as a self-insured employer. At the expiration of the 36-month period, the Commissioner of Insurance may accept in lieu of any security deposited a policy of paid-up insurance (loss portfolio transfer) in a form approved by the Commissioner of Insurance. **PLEASE ATTACH COPY (OR COPIES) OF CURRENT SECURITY DEPOSIT(S) HELD WITH THE DIVISION OF INSURANCE.**

Form of Security

1. Surety Bond - Bond Number: \_\_\_\_\_  
Company: \_\_\_\_\_  
Amount: \$ \_\_\_\_\_

**NOTE:** Pursuant to NRS 616B.306: *A company providing a surety bond may terminate liability on its surety bond by giving the commissioner and the employer 90 days' written notice. THE TERMINATION DOES NOT LIMIT LIABILITY WHICH WAS INCURRED UNDER THE SURETY BOND BEFORE THE TERMINATION.*

2. Time Certificate of Deposit (CD) Number (s): \_\_\_\_\_ Amount(s): \$ \_\_\_\_\_  
Issuing Institution(s): \_\_\_\_\_ Contact Person: \_\_\_\_\_  
E-mail Address: \_\_\_\_\_ Telephone Number: \_\_\_\_\_
3. Irrevocable Letter of Credit Number (NAC 616B.439): \_\_\_\_\_ Amount(s): \$ \_\_\_\_\_  
Bank \_\_\_\_\_ Contact Person: \_\_\_\_\_  
E-mail Address: \_\_\_\_\_ Telephone Number: \_\_\_\_\_  
U. S. Securities: Type: \_\_\_\_\_ Amount(s): \$ \_\_\_\_\_
4. Issuing Institution(s): \_\_\_\_\_ Contact Person: \_\_\_\_\_  
E-mail Address: \_\_\_\_\_ Telephone Number: \_\_\_\_\_

**INACTIVE**  
**2016 ANNUAL CLAIMS INFORMATION REPORT FOR \_\_\_\_\_**

C. CLAIMS INFORMATION (NAC 616B.463) – PLEASE REFER TO INSTRUCTIONS FOR EACH LINE ITEM  
**Each third-party administrator responsible for handling your claims must complete a separate report of claims, which must be included in your complete filing due September 30, 2016.**

TPA Name: \_\_\_\_\_ Dates claims were administered: From \_\_\_\_\_ To \_\_\_\_\_

The following claims information must reflect all claims, which have occurred since the employer became self-insured. (Please round to the nearest whole dollar amount.) **Do not include cents. If using the automated version use only positive numbers.**

1. **Annual Claims Expenditure Average**

	7/01/13 to 6/30/14	7/01/14 to 6/30/15	7/01/15 to 6/30/16	SUBTOTAL	TOTAL
a. Claims Expenditures	\$ _____	+ \$ _____	+ \$ _____	= \$ _____	
b. Claims Expenditures Three-Year Average (Total of three years divided by 3)				=	\$ _____

2. **Closed Claims Costs**

a. Total Number of Closed Claims at June 30, 2016: \_\_\_\_\_

	MEDICAL	INDEMNITY	OTHER	SUBTOTAL	TOTAL
b. <b>Actual</b> Paid to Date	\$ _____	+ \$ _____	+ \$ _____	= \$ _____	
c. Multiplied by: Applicable Percentage Used (See instructions)		_____			
d. Equals: Provision for Reopened Claims Costs (See Instructions)	\$ _____	+ \$ _____	+ \$ _____	=	\$ _____

3. **Claims Administration Costs (See Instructions)**

a. Administration Expense \$ \_\_\_\_\_

4. **Minimum Security Deposit Required (See Instructions)**

(Rounded Up to the Nearest Thousand) \$ \_\_\_\_\_

(ANY ADJUSTMENTS TO PRESENT DEPOSIT AMOUNT MUST BE AUTHORIZED IN WRITING BY THE DIVISION OF INSURANCE)

5. **Open Claims Information**

a. Total Number of **Open** Claims at June 30, 2016: \_\_\_\_\_  
**(Do Not Include Incident Reports)**

	MEDICAL	INDEMNITY	OTHER	TOTAL
b. Total <b>Anticipated Gross</b> Costs	\$ _____	+ \$ _____	+ \$ _____	= \$ _____
c. Less: <b>Actual</b> Paid to Date	_____	+ _____	+ _____	= \$ _____
d. Equals: Reserves	\$ _____	+ \$ _____	+ \$ _____	= \$ _____

e. Total Number of Claims Expected to be paid from Other Sources  
**(Please attach back-up – see instructions)** \_\_\_\_\_

**INACTIVE  
2016 ANNUAL CLAIMS INFORMATION REPORT**

This report was prepared and verified by:

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

Signed \_\_\_\_\_

Third Party Administrator or Self-Administered Employer Name  
**(Signature Required)**

I hereby certify under penalty of perjury that the foregoing statements are true and correct to the best of my knowledge and belief.

Signed \_\_\_\_\_

Officer/Owner – Self-Insured Employer  
**(Signature Required)**

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

State of Nevada

County of \_\_\_\_\_

This instrument was acknowledged before me on \_\_\_\_\_  
Date

by \_\_\_\_\_ as  
Name(s) of person(s)

\_\_\_\_\_ of \_\_\_\_\_  
Type of authority, e.g. officer, trustee, etc.

\_\_\_\_\_  
Name of party for whom instrument was executed

Notary Stamp

\_\_\_\_\_  
Signature of Notary

\_\_\_\_\_  
Title and Rank (optional)