

State of Nevada



Department of Business and Industry

DIVISION OF INSURANCE

1818 East College Pkwy., Suite 103

Carson City, Nevada 89706

CAPTIVE FILING REQUIREMENTS FOR DOMESTIC CAPTIVE INSURANCE COMPANIES

Required Filings for Nevada	Due Date:
I. Financial Statements	
Annual Report of Financial Condition	3/1
Quarterly Financial Statement (if applicable)	5/15, 8/15, 11/15
II. Audited Financial Statements	
Audited Financial Statement	6/30
Letter of Qualification	6/30
Report of Significant Deficiencies in Internal Controls	6/30
Statement of Actuarial Opinion	6/30
III. Additional Required Annual Filings	
Premium Tax	3/1
License Renewal Fees	3/1
Business Plan	3/1

Additional Information and Instructions:	
Copies	The Division accepts pdf scans of all documents in the filing. The pdf scan must arrive by the deadline. Use of the Division's Financial Filing Submission Portal is also recommended (http://doi.nv.gov/Insurers/)
Required Filings Contact Person:	Robert Gallegos - Program Officer I Captive Program captives@doi.nv.gov (775) 687-0748
Mailing address	Nevada Division of Insurance Captive Program 1818 E. College Pkwy., Suite 103 Carson City, NV 89706
Delivery Instructions	All filings must be postmarked, emailed, or uploaded to the filing portal, on or before the due date. If the due date falls on a weekend or holiday, then the deadline is extended to the next business day.
Late Filings	The Company's license may be subject to suspension for refusal or failure to submit timely filings. The Company will be fined \$100 per day to a maximum of \$3,000 for late filings or as required by statute.
Original Signatures	The original signatures are required on all filings.
Signature/Notarization/Certification	The President and Secretary are required to sign the annual statement, or in the absence of one, two other principal officers may sign.
Amended Filings	File within 10 days of amendment and include an explanation of the amendment(s). Signature requirements will apply to any amendment.